62-16-00

𝐴

NEW UTILITY
PATENT APPLICATION
TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number	4464
First Named Inventor	Pawan Goyal
Total Pages in this Submission	88
Express Mail Label No.	EM083021125US

APPLICATION ELEMENTS

1. ☑ Fee Transmittal Form (in duplicate)

   ☑ Check Enclosed

2. ☑ Specification

   (preferred arrangement set forth below)
   - Descriptive Title of the Invention
   - Cross Reference(s) to Related Case(s)
   - Statement Regarding Fed sponsored R & D
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawing(s)
   - Detailed Description
   - Claim or Claims
   - Abstract of the Disclosure

3. ☑ Drawing(s) *(when necessary per 35 USC 113)*

4. Oath or Declaration

   a. ☑ New Declaration

      ☑ Executed

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 17 completed)

      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by Reference *(useable if Box 4b is checked)*. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment & Assignment Recordation Cover Sheet

7. ☐ Certified Copy of Priority Document(s)
   (if foreign priority is claimed)

8. ☐ Information Disclosure Statement & PTO-1449

   ☐ Copies of IDS Citation(s)

9. ☐ Preliminary Amendment

10. Small Entity Statement

    ☑ New Statement enclosed

    ☐ Statement filed in prior application. Status still proper and desired

11. ☑ Return Postcard

12. ☐ _____

13. ☐ _____

14. ☐ _____

15. ☐ _____

16. ☐ _____

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

17. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___/_____

Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

NAME	Albert C. Smith Fenwick & West LLP				
ADDRESS	Two Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
COUNTRY	U.S.A.	TELEPHONE	(650) 858-7296	FAX	(650) 494-1417
Name (Print/Type)	Albert C. Smith		Registration No. (Attorney/Agent)		20,355
Signature			Date		2/14/2000

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN	Docket Number (Optional): 4464

Applicant or Patentee: _____ Pawan Goyal

Application or Patent No.: ___ not yet known

Filing Date or Issue Date: ___ not yet known

Title: RESTRICTING COMMUNICATION OF SELECTED PROCESSES TO A SET OF SPECIFIC NETWORK ADDRESSES

I hereby declare that I am

    [ ] the owner of the small business concern identified below:

    [X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN _____ Ensim Corporation

ADDRESS OF SMALL BUSINESS CONCERN _____ 1215 Terra Bella Avenue, Mountain View, CA 94043

    I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

    I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

    [ ] the specification filed herewith with title as listed above.

    [X] the application identified above.

    [ ] the patent identified above.

    If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

    Each such person, concern or organization having any rights in the invention is listed below:

    [X] No such person, concern, or organization exists.

    [ ] Each such person, concern or organization is listed below:

_____

_____

    Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

    I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

    I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Srinivasan Keshav

TITLE OF PERSON IF OTHER THAN OWNER Chief Technical Officer

ADDRESS OF PERSON SIGNING 1215 Terra Bella Avenue, Mountain View, CA 94043

SIGNATURE _____ S.K._____ DATE ___ 2/11/00

21816/04464/DOCS/998971.1

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	not yet known
		Filing Date	February 14, 2000
FEE TRANSMITTAL		First Named Inventor	Pawan Goyal
		Group Art Unit	not yet known
TOTAL AMOUNT OF PAYMENT		Examiner Name	not yet known
Subtotal (1) + Subtotal (2) + Subtotal (3) = **($) 1683.00**		Attorney Docket Number	4464

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

[ ] Charge the indicated fees to the below mentioned deposit account.

[X] Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†]

[ ] Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. [X] Payment Enclosed:
  [X] Check   [ ] Other

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$690	201/$345	Utility Filing	345
106/$310	206/$155	Design Filing	
108/$690	208/$345	Reissue	
114/$150	214/$75	Provisional Filing	

SUBTOTAL (1) ($) 345

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$18	203/$9	Claims in excess of 20
102/$78	202/$39	Independent claims in excess of 3
104/$260	204/$130	Multiple dependent claim
109/$78	209/$39	Reissue independent claims over original patent
110/$18	210/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month[†]	
116/$380	216/$190	Extension for response within second month[†]	
117/$870	217/$435	Extension for response within third month[†]	
118/$1,360	218/$680	Extension for response within fourth month[†]	
128/$1,850	228/$925	Extension for response within fifth month[†]	
119/$300	219/$150	Notice of Appeal	
141/$1,210	241/$605	Petition to revive unintentionally abandoned application	
142/$1,210	242/$605	Utility Issue Fee (Or Reissue)	
143/$430	243/$215	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
123/$50	123/$50	Petitions related to provisional applications	
126/$240	126/$240	Submission of Information Disclosure Statement	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	
146/$690	246/$345	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$690	249/$345	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify):	
		Other fee (specify):	

SUBTOTAL (3) ($) 0

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For		(Col. 3) Extra**		Fee		Fee Due
TOTAL	95	minus*	or	20	=	75	x	9	= 675
INDEP	20	minus*	or	3	=	17	x	39	= 663
[ ] First presentation of multiple dependent claim								=	

SUBTOTAL (2) ($)1338

* Subtract the greater number of Col. 2
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Albert C. Smith	Reg. Number	20,355
Signature		Date	2/14/2000

21816/04464/DOCS/1000637.1

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
Rev. 11/04/99